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Conflict Minerals Reporting Template (CMRT)

English

Revision 6.1
April 28, 2021

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

[Link to Terms & Conditions](#)

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

Company Information

| | |
|---------------------------------|--|
| Company Name (*): | Ulbrich Stainless Steels and Special Metals |
| Declaration Scope or Class (*): | C. User defined [Specify in 'Description of scope'] |
| Description of Scope: (*) | L605 |
| Company Unique ID: | |
| Company Unique ID Authority: | |
| Address: | 153 Washington Avenue North Haven, CT 06473 |
| Contact Name (*): | Ulbrich Stainless Steels and Special Metals |
| Email - Contact (*): | conflictminerals@ulbrich.com |
| Phone - Contact (*): | 203-239-4481 |
| Authorizer (*): | Ulbrich Stainless Steels and Special Metals |
| Title - Authorizer: | |
| Email - Authorizer (*): | conflictminerals@ulbrich.com |
| Phone - Authorizer: | 203-239-4481 |
| Effective Date (*): | 28-Apr-2021 |

Answer the following questions 1 - 8 based on the declaration scope indicated above

| | | |
|---|--------|----------|
| 1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*) | Answer | Comments |
| Tantalum | No | |
| Tin | No | |
| Gold | No | |
| Tungsten (*) | Yes | |

| | | |
|---|--------|----------|
| 2) Does any 3TG remain in the product(s)? (*) | Answer | Comments |
| Tantalum | | |
| Tin | | |
| Gold | | |
| Tungsten (*) | Yes | |

| | | |
|---|--------|----------|
| 3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*) | Answer | Comments |
| Tantalum | | |
| Tin | | |
| Gold | | |
| Tungsten (*) | No | |

| | | |
|---|--------|----------|
| 4) Do any of the smelters in your supply chain source the 3TG from conflict-affected and high-risk areas? (*) | Answer | Comments |
| Tantalum | | |
| Tin | | |
| Gold | | |
| Tungsten (*) | No | |

| | | |
|--|--------|----------|
| 5) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*) | Answer | Comments |
| Tantalum | | |
| Tin | | |
| Gold | | |
| Tungsten (*) | Yes | |

| | | |
|--|------------------|----------|
| 6) What percentage of relevant suppliers have provided a response to your supply chain survey? (*) | Answer | Comments |
| Tantalum | | |
| Tin | | |
| Gold | | |
| Tungsten (*) | Greater than 90% | |

| | | |
|--|--------|----------|
| 7) Have you identified all of the smelters supplying the 3TG to your supply chain? (*) | Answer | Comments |
|--|--------|----------|



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Бирда Дил Тархилин Балирлевин:

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| | | |
|--------------|----|--|
| Tantalum | | |
| Tin | | |
| Gold | | |
| Tungsten (*) | No | |



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8) Has all applicable smelter information received by your company been reported in this declaration? (*)

| | Answer | Comments |
|--------------|--------|----------|
| Tantalum | | |
| Tin | | |
| Gold | | |
| Tungsten (*) | Yes | |

Answer the Following Questions at a Company Level

| Question | Answer | Comments |
|--|---|--|
| A. Have you established a responsible minerals sourcing policy? (*) | Yes | |
| B. Is your responsible minerals sourcing policy publicly available on your website? (Note – If yes, the user shall specify the URL in the comment field.) (*) | Yes | Our Conflict Minerals Reporting Templates are available on our website - www.ulbrich.com |
| C. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*) | No | |
| D. Have you implemented due diligence measures for responsible sourcing? (*) | Yes | |
| E. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*) | Yes, in conformance with IPC1755 (e.g., C | |
| F. Do you review due diligence information received from your suppliers against your company's expectations? (*) | Yes | |
| G. Does your review process include corrective action management? (*) | No | |
| H. Is your company required to file an annual conflict minerals disclosure? (*) | No | |